

SEP 2 0 2006

PTO/SB/21 (08-03)

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Approved for use through 08/30/03. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission
(excluding references)

23

Application Number

10/820,316

Filing Date

April 7, 2004

First Named Inventor

Syed F.A. Hossainy

Group Art Unit

1762

Examiner Name

James Lin

Attorney Docket Number

50623.285

ENCLOSURES (check all that apply)

☒ Deposit Account 07-1850
Authorization☒ Postage Paid Return Postcard☒ Response to Office Action
(19 pages)☒ Amendment Transmittal Letter
(1 page) (in duplicate)☐ Affidavits/declaration(s)☐ Petition for Extension of Time (months) (page) (in duplicate)☐ Information Disclosure Statement
(in duplicate) with Form PTO-1449 (page) citing References☒ Express Mail Label No.
EV 7211 54117 US☒ Certificate of Mailing☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53☐ Assignment Papers
(for an Application)☐ Drawing(s) Formal Sheets with
Submission of Formal Drawings (1
page) (in duplicate)☐ Issue Fee Transmittal with PTO-85b
(in duplicate)☐ Request for Continued Examination
Transmittal (RCE)☒ Fee Transmittal Form (1 page) (in
duplicate)☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer (page)☐ Statement of Common Ownership☐ CD, Number of CD(s) _____☐ After Allowance Communication to
Group☐ Appeal Communication to Board of
Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Request for Status of Application☐ Other Enclosure(s)
(please identify below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual nameSquire, Sanders & Dempsey L.L.P.
Cameron K. Kerrigan, Reg. No. 44,826

Signature

Date

September 18, 2006

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date below:

Typed or printed name

Mary M. Padilla

Signature

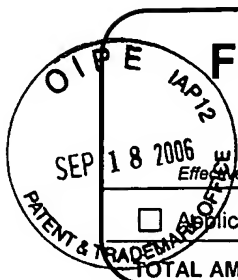
Mary M. Padilla

Date

September 18, 2006

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FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 2,750.00

Complete if Known

Application Number	10/820,316
Filing Date	04/07/2004
First Named Inventor	Syed F. A. Hossainy
Examiner Name	James Lin
Art Unit	1762
Attorney Docket No.	50623.285

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order
☒ Deposit Account:Deposit
Account
Number
07-1850Deposit
Account
Name
Squire, Sanders & Dempsey L.L.P.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) or any underpayment of fee(s)
☐ Charge fee(s) indicated below, except for the filing fee
 to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	300	2001	150	Utility filing fee	
1002	200	2002	100	Design filing fee	
1003	200	2003	100	Plant filing fee	
1004	300	2004	150	Reissue filing fee	
1005	200	2005	100	Provisional filing fee	
SUBTOTAL (1)					(\$ 0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
63	-20 ** = 43	X 50	= 2150
Independent Claims	6	-3 ** = 3	X 200 = 600
Multiple Dependent		X	= 0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	50	2202	25	Claims in excess of 20
1201	200	2201	100	Independent claims in excess of 3
1203	360	2203	180	Multiple dependent claim, if not paid
1204	200	2204	100	** Reissue independent claims over original patent
1205	50	2205	25	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 2750.00)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1,530	2254	765	Extension for reply within fourth month	
1255	2,080	2255	1,040	Extension for reply within fifth month	
1401	340	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,370	2453	685	Petition to revive - unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1311	200	2311	100	Utility Examination Fee	

Other fee (specify) Utility Search Fee

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Cameron K. Kerrigan	Registration No. (Attorney/Agent)	44,826	Telephone	(415) 954-0200
Signature		Date	September 18, 2006		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

AMENDMENT TRANSMITTAL LETTER (Large Entity)

Applicant(s): Syed F.A. Hossainy et al.

Docket No.

50623.132

Serial No.

10/820,316

Filing Date

April 7, 2004

Examiner

James Lin

Group Art Unit

1762

Invention:

Methods for Modifying Balloon of a Catheter Assembly

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as show below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST.# PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	63	20	43	X \$50.00	\$2150.00
INDEP. CLAIMS	6	3	3	X \$200.00	\$600.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$00.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$2750.00

☐ No additional fee is required for amendment.☒ Please charge Deposit Account No. 07-1850 in the amount of \$2750.00


A duplicate copy of this sheet is enclosed.

☐ A check in the amount of _____ to cover the filing fee is enclosed.☒ The Commissioner is hereby authorized to charge payment of any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 07-1850

A duplicate copy of this sheet is enclosed.

☐ Any additional filing fees required under 37 C.F.R. 1.16.☐ Any patent application processing fees under 37 C.F.R. 1.17.

Dated: September 18, 2006
Squire, Sanders & Dempsey L.L.P.
1 Maritime Plaza, Suite 300
San Francisco, CA 94111
(415) 954-0200


Cameron K. Kerrigan
Reg. No. 44,826

Express Mail No. EV 7211 54117 US

Attorney Docket No. 50623.285

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of:

Examiner:

Syed F.A. Hossainy et al.

James Lin

Serial No.: 10/820,316

Art Unit: 1762

Filed: April 7, 2004

Title: Methods For Modifying Balloon of a Catheter Assembly

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Response to Office Action

Dear Examiner Lin:

This is a response to the office action dated June 20, 2006 which has a shortened statutory period for reply that ends on September 20, 2006.

09/21/2006 RMEBRAHT 00000034 071850 10820316
01 FC:1202 2150.00 DA
09/21/2006 RMEBRAHT 00000034 071850 10820316
02 FC:1201 600.00 DA